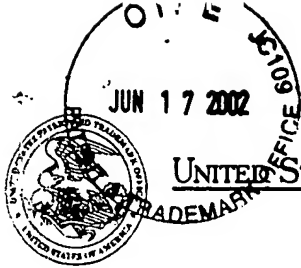


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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/059,627	01/29/2002	Yawei Ni	103216.00252 (CARR-0084)

CONFIRMATION NO. 5288

## FORMALITIES LETTER



T. Ling Chwang  
 Suite 600  
 2435 N. Central Expressway  
 Richardson, TX 75080

Date Mailed: 04/09/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/20/2002 SZEWDIE1 00000031 10059627

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:202	126.00 OP
04 FC:203	513.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$639.
  - \$513 for 57 total claims over 20.
  - \$126 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1074.

*A copy of this notice **MUST** be returned with the reply.*

*H. Truong*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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MP \$

Docket No.: CARR-0084 (103216.00252)

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yawei Ni, et al.

Serial No.: 10/059,627

Filed: January 29, 2002

Art Unit: 1751

Examiner: Unknown

For: COMBINATION OF A GROWTH FACTOR AND A PROTEASE  
ENZYME

Commissioner for Patents  
Box: Missing Parts  
Washington, D. C. 20231

Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Box: Missing Parts, Washington, D.C. 20231, on June 7, 2002.

M Alford

(Printed or typed name of person signing the certificate)

*M. Alford*

(Signature of the person signing the certificate)

RESPONSE TO MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application dated April 9, 2002, enclosed please find the following documents:

1. Fee Transmittal for FY 2002;
2. Declaration and Power of Attorney;
3. Recordation Form Cover Sheet;
4. Executed Assignment;
5. A check in the amount of \$40 for the Assignment;
6. A check in the amount of \$1074 to cover all fees including a surcharge for missing items;

and

7. A copy of the Notice to File Missing Parts of Application.

The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1752. A duplicate copy of this sheet is included for that purpose.

Respectfully Submitted,

*T. Ling Chwang*

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T. Ling Chwang  
Reg. No. 33,590  
Jackson Walker L.L.P.  
2435 North Central Expressway, Suite 600  
Richardson, Texas 75080  
Tel: (972) 744-2919  
Fax: (972) 744-2909

*June 7, 2002*

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Dated

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number 10/059,627  
Filing Date January 29, 2002  
First Named Inventor Yawei Ni  
Examiner Name Unknown  
Group / Art Unit  
Attorney Docket No. 103216.00252 (CARR-0084)

TOTAL AMOUNT OF PAYMENT (\$) 1114

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 50-1752
- Deposit Account Name Jackson Walker L.L.P.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 370)

### 2. EXTRA CLAIM FEES

Total Claims	77	-20	=	57	X	9	=	513
Independent Claims	6	-3	=	3	X	42	=	126
Multiple Dependent					X		=	0

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 639)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity (\$)	Fee Code	Small Entity (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 105)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	T. Ling Chwang	Registration No. Attorney/Agent)	33,590	Telephone	972-744-2919
Signature	T. Ling Chwang			Date	June 7, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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